

# Resume Thomas Maul



I am a competent leader, specialized in risk management, treasury and controlling with mathematical background, strong business mindset and international banking experience, committed to presenting viable solutions in complex situations, while pursuing company's vision and goals.

## PERSONAL DATA

|               |   |
|---------------|---|
| Address       | Mühlholzgasse 45a, D-04277 Leipzig, Germany |
| Mobile        | +49 (0)151 28 766 240                       |
| E-Mail        | tmaul1968@gmail.com                         |
| Nationality   | German                                      |
| Date of Birth | 23/09/1968                                  |

## PROFESSIONAL EXPERIENCE

|               |  |
|---------------|--|
| Period        | <i>April 2010 – present</i>  |
| Position held | <b>Consultant, (Senior) Manager</b>  |
| Company       | <i>PPI AG, Hamburg (former TriSolutions GmbH)</i>  |
| Clients       | Risk Management, Treasury and Controlling Departments of banks, savings banks, leasing companies, energy/gas suppliers and public institutions in DACH region (Germany, Austria and Switzerland)   |
| Expertise     | <ul style="list-style-type: none"><li>• Full range of risk management/controlling (e.g. ICAAP/RBC, SREP, CRR) including all relevant KPI/KRI</li><li>• Risk governance (policies, committees, processes and procedures)</li><li>• Funding strategy, liquidity management (ILAAP), MREL/TLAC</li><li>• Implementation processes for new products/markets/distribution channels</li><li>• Contribution accounting including budgeting</li><li>• Software architecture and selection</li><li>• Management coaching</li><li>• Organization of conferences and workshops (public and in house), white paper</li></ul> |

|                                 |   |
|---------------------------------|---|
| Period                          | <i>January 2009 – March 2010</i>  |
| Position held                   | <b>Sabbatical “Africa clockwise”</b><br>Exploring the African continent by car  |
| Period                          | <i>July 2006 – December 2008</i>  |
| Position held                   | <b>Head of Treasury and Markets</b><br>Divisional Director  |
| Company                         | <i>Landesbank Baden-Württemberg (former Landesbank Sachsen)</i>   |
| Activities and responsibilities | <ul style="list-style-type: none"> <li>• Full range of Treasury and Capital Markets activities including <ul style="list-style-type: none"> <li>◦ Managing the trading and banking book for the Leipzig branch</li> <li>◦ Strategic liquidity management</li> <li>◦ Implementation of strategic investments with a total return profile</li> <li>◦ Asset-liability management</li> <li>◦ Derivatives and structured products for institutional clients and the banking book</li> </ul> </li> <li>• Annual P&amp;L-budget of at least 50m Euro</li> <li>• Team approx. 30 persons</li> </ul> |
| Period                          | <i>September 2006 – April 2008</i>  |
| Position held                   | <b>Head of Board</b>  |
| Company                         | <i>Humboldt-Multi-Invest SICAV A and SICAV B, Luxembourg</i>  |
| Activities and responsibilities | <ul style="list-style-type: none"> <li>• Setting up a SICAV-framework under UCITS III</li> <li>• Setting up total return funds for institutional clients</li> </ul>   |
| Period                          | <i>May 2004 – June 2006</i>   |
| Position held                   | <b>Deputy Head of Capital Markets</b><br>Department Manager   |
| Company                         | <i>Landesbank Sachsen</i>   |
| Activities and responsibilities | <ul style="list-style-type: none"> <li>• Responsible for the full range of Treasury and Capital Markets activities including <ul style="list-style-type: none"> <li>◦ Trading of financial instruments</li> <li>◦ Sales for institutional clients and savings banks</li> <li>◦ Underwriting business</li> <li>◦ Middle office function</li> <li>◦ Rating process for the bank and the Free State of Saxony</li> </ul> </li> <li>• Annual P&amp;L budget of about 50m Euros</li> <li>• Team approx. 40 persons</li> </ul>  |

|                                 |  |
|---------------------------------|--|
| Period                          | May 2002 – April 2004  |
| Position held                   | <b>Head of Treasury</b><br>Department Manager  |
| Company                         | <i>Landesbank Sachsen</i>  |
| Activities and responsibilities | <ul style="list-style-type: none"> <li>• Full Range of Treasury activities for the headquarter in Leipzig</li> <li>• Public sector loan business for German and European clients</li> <li>• Team approx. 15 persons</li> </ul> |
| Period                          | July 2000 – April 2002   |
| Position held                   | <b>Head of Risk Control Capital Markets</b><br>Department Manager  |
| Company                         | <i>Landesbank Sachsen</i>  |
| Activities and responsibilities | <p>Market Risk and Counterparty Risk Controlling for all trading and treasury activities in the Leipzig headquarter</p> <ul style="list-style-type: none"> <li>• Team of approx. 8 persons</li> </ul>                          |
| Period                          | June 1998 – June 2000  |
| Position held                   | <b>Head of Market Risk Controlling</b><br>Team Lead  |
| Company                         | <i>Landesbank Sachsen</i>  |
| Activities and responsibilities | <ul style="list-style-type: none"> <li>• Market risks management and the P&amp;L-calculation for the trading and treasury activities in the Leipzig headquarter</li> </ul>   |
| Period                          | April 1995 – May 1998  |
| Position held                   | <b>Treasury Manager</b><br>Employee  |
| Company                         | <i>Landesbank Sachsen</i>  |
| Activities and responsibilities | <ul style="list-style-type: none"> <li>• Preparation and implementation of funding strategies</li> <li>• Transfer pricing for refinancing/funding of the loan business</li> </ul>  |
| Period(s)                       | September 1987 – October 1987<br>April 1989 – August 1989  |
| Position held                   | <b>Intern</b>  |
| Activities and responsibilities | <p>Internship in different industrial enterprises (food industry and microelectronics) to bridge the gap between military service and studies</p>  |

## SCHOOL AND PROFESSIONAL EDUCATION

Period

*September 1989 – March 1995*

Institution

**University of Leipzig**

**Mathematics major with business and economics**

Graduation

M.Sc. (German Diplom)

Details

Title of the master's thesis: "On the pricing and optimal exercise of American options"

## LANGUAGES

Mother tongue

**German**

Foreign languages

**English:** fluent (proven practice during the last years when dealing with international partners and clients)

**Spanish:** good command (limited practice, but very good basis)

**Russian:** good command (limited practice, but very good basis)

**Arabic:** basic communication skills (evening classes 2007/2008, one to one course in Damascus 2008, and practice during "Africa clockwise"- expedition)

## IT-Skills

Experiences in

MS Office (excellent) including VBA Programming

SQL/KSQL

SAS

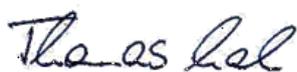
Kondor+/Opus

HTML

## ADDITIONAL INFORMATION

concerning my  
Professional Experience

- Authorization to sign all deeds, certificates, and documents concerning issued liabilities and securities of Landesbank Sachsen
- Entry in the commercial register
- Member in of the investment advisory panel of the bank's funds and of the institutional client's funds



Thomas Maul

Leipzig, January, 2020